



Attorney Docket No.: 100103-000110US

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S.3 and
4/20/04

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tonny Yu
Serial No. : 09/776,034
Filed : February 1, 2001
Title : USING AN INTERMEDIARY TO MANAGE UNWANTED ELECTRONIC MESSAGES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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APR 14 2004

Technology Center 2100

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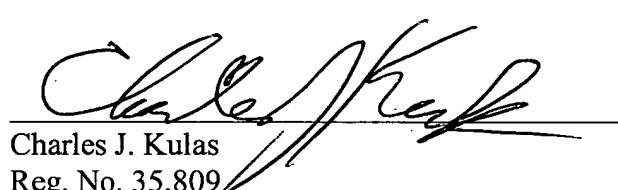
Copies of the cited U.S. Patent references listed on the attached PTO-1449 forms are not enclosed but can be provided upon request.

This filing is being made before receipt of an Office Action on the merits. Therefore, no fee is currently due.

Please apply any other appropriate charges or credits to Deposit Account No. 50-3000.

Respectfully submitted,

Date: April 8, 2004


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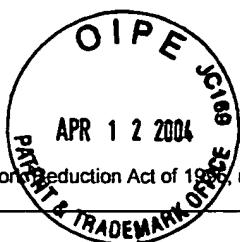
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/776,034
Filing Date	February 1, 2001
First Named Inventor	Tonny Yu
Group Art Unit	2152
Examiner Name	Unassigned

Total Number of Pages in this Submission

5

Attorney Docket Number

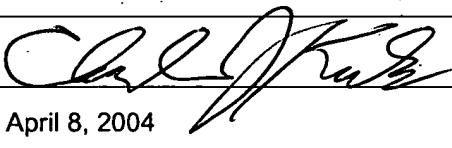
100103-000110US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawings	<input type="checkbox"/> Appeal Communication to Board of Appeals and interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO-1449 forms Confirmation postcard
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Technology Center 2100
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

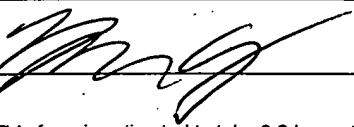
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Charles J. Kulas, Reg. No. 35,809
Signature	
Date	April 8, 2004

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APR 12 2004

Sheet 1 of 3

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
100103-000110USApplication No.
09/776,034Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Tonny YuFiling Date
February 1, 2001Group Art Unit
2152

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,684,238	01/27/2004	DUTTA			
	AB	6,684,088	01/27/2004	HALAHMI			
	AC	6,671,718	12/30/2003	MEISTER et al.			RECEIVED
	AD	6,654,787	11/25/2003	ARONSON et al.			APR 14 2004
	AE	6,651,879	11/25/2003	LAPSTUN et al.			
	AF	6,643,687	11/04/2003	DICKIE et al.			Technology Center 2100
	AG	6,615,241	09/02/2003	MILLER et al.			
	AH	6,614,551	09/02/2003	PEEK			
	AI	6,600,750	07/29/2003	JOFFE et al.			
	AJ	6,592,627	07/15/2003	AGRAWAL et al.			
	AK	6,591,296	07/08/2003	GHANIME			
	AL	6,587,871	06/17/2003	SCHRADER			
	AM	6,580,787	06/17/2003	AKHTERUZZAMAN et al.			
	AN	6,546,417	04/08/2003	BAKER			
	AO	6,539,385	03/25/2003	POLLACK et al.			
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	AQ	6,526,042	02/25/2003	PINARD et al.			
	AR	6,522,421	02/18/2003	CHAPMAN et al.			
	AS	6,502,127	12/31/2002	EDWARDS et al.			
	AT	6,487,586	11/26/2002	OGILVIE et al.			
	AU	6,473,812	10/29/2002	MOTOYAMA			
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	AW	6,446,261	09/03/2002	ROSSER			
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	AY	6,443,841	09/03/2002	ROSSIDES			
	AZ	6,438,584	08/20/2002	POWERS			
	AAA	6,424,426	07/23/2002	HENRY			

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 2 of 3

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 100103-000110US	Application No. 09/776,034
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Tonny Yu		
		Filing Date February 1, 2001	Group Art Unit 2152	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB	6,413,000	07/02/2002	BORCHERDS et al.			
	ACC	6,405,243	06/11/2002	NIELSEN			
	ADD	6,405,225	06/11/2002	APFEL et al.			RECEIVED
	AEE	6,401,112	06/04/2002	BOYER et al.			APR 14 2004
	AFF	6,374,292	04/16/2002	SRIVASTAVA et al.			
	AGG	6,363,414	03/26/2002	NICHOLLS et al.			Technology Center 2100
	AHH	6,351,523	02/26/2002	DETLEF			
	AII	6,330,590	12/11/2001	COTTEN			
	AJJ	6,330,589	12/11/2001	KENNEDY			
	AKK	6,324,569	11/27/2001	OLGIVIE et al.			
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	AMM	6,304,898	10/16/2001	SHIIGI			
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	AOO	6,282,565	08/28/2001	SHAW et al.			
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	AVV	6,108,691	08/22/2000	LEE et al.			
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	AYY	6,052,709	04/18/2000	PAUL			
	AZZ	6,023,723	02/08/2000	McCORMICK et al.			
	AAAA	5,999,967	12/07/1999	SUNDSTED			
	ABBB	5,987,609	11/16/1999	HASEKE			

Examiner Signature	Date Considered
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Sheet 3 of 3

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 100103-000110US	Application No. 09/776,034
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Tonny Yu		
(37 CFR §1.98(b))		Filing Date February 1, 2001	Group Art Unit 2152	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,978,799	11/02/1999	HIRSCH			
	ADDD	5,878,230	03/02/1999	WEBER et al.			RECEIVED
	AEEE	5,822,526	10/13/1998	WASKJEWICZ			APR 14 2004
	AFFF	5,781,857	07/14/1998	HWANG et al.			
	AGGG	5,742,769	04/21/1998	LEE et al.			Technology Center 2100
	AHHH	5,694,616	12/02/1997	JOHNSON et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AIII						
	AJJJ						
	AKKK						
	ALLL						
	AMMM						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
	ANNN						
	AOOO						
	APPP						
	AQQQ						

Examiner Signature	Date Considered
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